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<AGENCY TYPE='S'>DEPARTMENT OF COMMERCE

<SUBAGY>National Oceanic and Atmospheric Administration

<CFR>50 CFR Part 648

<DEPDOC>[Docket No. 111011616-1750-01]

<RIN>RIN 0648-BB51

<SUBJECT>Fisheries of the Northeastern United States; Atlantic Sea Scallop Fishery;

Framework Adjustment 23

AGENCY: National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

ACTION: Proposed rule; request for comments.

SUMMARY: NMFS proposes to approve and implement regulations through Framework Adjustment 23 to the Atlantic Sea Scallop Fishery Management Plan, which was developed and adopted by the New England Fishery Management Council and submitted to NMFS for approval. Framework Adjustment 23 includes measures to: Minimize impacts on sea turtles through the requirement of a turtle deflector dredge; improve the effectiveness of the scallop fishery's accountability measures related to the yellowtail flounder annual catch limits; adjust the limited access general category Northern Gulf of Maine management program; and modify the scallop vessel monitoring system trip notification procedures to improve flexibility for the scallop fleet.

DATES: Comments must be received by 5 p.m., local time, on January 18, 2012.

ADDRESSES: An environmental assessment (EA) was prepared for Framework 23 that describes the proposed action and other considered alternatives and provides a thorough analysis of the impacts of the proposed measures and alternatives. Copies of Framework 23, the EA, and the Initial Regulatory Flexibility Analysis (IRFA), are available upon request from Paul J. Howard, Executive Director, New England Fishery Management Council, 50 Water Street, Newburyport, MA 01950.

You may submit comments on this document, identified by NOAA-NMFS-2011-0255, by any of the following methods:

- <u>Electronic Submission</u>: Submit all electronic public comments via the Federal e-Rulemaking Portal <u>www.regulations.gov</u>. To submit comments via the e-Rulemaking Portal, first click the "submit a comment" icon, then enter NOAA-NMFS-2011-0255 in the keyword search. Locate the document you wish to comment on from the resulting list and click on the "Submit a Comment" icon on the right of that line.
- Mail: Submit written comments to Patricia A. Kurkul, Regional Administrator, NMFS,
 Northeast Regional Office, 55 Great Republic Drive, Gloucester, MA 01930. Mark the
 outside of the envelope, "Comments on Scallop Framework 23 Proposed Rule."
- Fax: (978) 281-9135; *Attn:* Emily Gilbert.

<u>Instructions:</u> Comments must be submitted by one of the above methods to ensure that the comments are received, documented, and considered by NMFS. Comments sent by any other method, to any other address or individual, or received after the end of the comment period, may not be considered. All comments received are a part of the public record and will generally be posted for public viewing on <u>www.regulations.gov</u> without change. All personal identifying information (e.g., name, address, etc.) submitted voluntarily by the sender will be

publicly accessible. Do not submit confidential business information, or otherwise sensitive or protected information. NMFS will accept anonymous comments (enter "N/A" in the required fields if you wish to remain anonymous). Attachments to electronic comments will be accepted in Microsoft Word or Excel, WordPerfect, or Adobe PDF file formats only.

FOR FURTHER INFORMATION CONTACT: Emily Gilbert, Fishery Policy Analyst, 978-281-9244; fax 978-281-9135.

SUPPLEMENTARY INFORMATION:

<HD1>Background

The New England Fishery Management Council (Council) adopted Framework

Adjustment 23 (Framework 23) on September 27, 2011, initially submitted it to NMFS on

October 25, 2011, for review and approval, and submitted a revised final framework document
on November 30, 2011. Framework 23 includes measures that would require the use of a turtle
deflector dredge (TDD), including where, when, and to which vessels this TDD requirement
would apply. Framework 23 proposes to revise the current accountability measures (AMs)
related to the yellowtail flounder (YTF) annual catch limits (sub-ACLs) for the Georges Bank
(GB) and Southern New England/Mid-Atlantic (SNE/MA) YTF stock areas. These
modifications would only alter the months when a closure would apply and would not change the
locations for these seasonal closure AMs. Framework 23 also includes a change to how scallop
landings would be applied to the Northern Gulf of Maine Management (NGOM) total allowable
catch (TAC) when harvested by federally NGOM-permitted vessels. Finally, Framework 23
proposes procedural changes to when and where a vessel can declare a scallop trip through
vessel monitoring systems (VMS).

The Council reviewed the Framework 23 proposed rule regulations, as drafted by NMFS, and deemed them to be necessary and appropriate as specified in section 303(c) of the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act). This proposed rule also includes several revisions to the regulatory text that were duplicative and unnecessary, outdated, unclear, or otherwise could be improved through revision. These were not recommended by the Council, but are proposed by NMFS under the authority of section 305(d) of the Magnuson-Stevens Act, which provides that the Secretary of Commerce may promulgate regulations necessary to ensure that amendments to a fishery management plan (FMP) are carried out in accordance with the FMP. These additional measures are identified and described below.

<HD2>Requirement to use a TDD

The proposed measure would require all limited access (LA) vessels (regardless of permit category or dredge size), and limited access general category (LAGC) Individual Fishing Quota (IFQ) vessels that have a dredge with a width of 10.5 ft (3.2 m) or greater, to use a TDD in the Mid-Atlantic (west of 71°W long.) from May through October. According to recent research indicating where sea turtle interactions most often occur, the proposed area for the TDD requirement includes the majority of overlap between the scallop fishery and expected turtle interactions in the Mid-Atlantic. The majority of takes for the scallop fishery have been loggerheads, but Kemps ridley turtles and one green sea turtle have also been observed to interact with scallop gear as well. Overall, data suggest that sea turtles are most likely to be present in areas that overlap with the scallop fishery in the Mid-Atlantic between May and October. All observed takes of sea turtles in the scallop dredge fishery have been recorded in

June through October. May was included in the proposed action because, based primarily on satellite, stranding, and projected sea turtle bycatch data, sea turtles are expected to be in the Mid-Atlantic during that month as well. Several sources of satellite data recorded sea turtles in offshore waters that overlap with the scallop fishery during May, and sea surface temperature and turtle distribution information indicate that waters are warm enough to support sea turtles during that time. In addition, there have been observed sea turtle takes in both the bottom trawl and sink gillnet fisheries in May, which indicates a potential for interactions with scallop fishing during that month as well.

The TDD is designed to reduce injury and mortality of sea turtles that come into contact with scallop dredges on the sea floor by deflecting sea turtles over the dredge frame and dredge bag. The TDD includes five modifications to the standard commercial dredge frame:

- (1) The cutting bar must be located in front of the depressor plate.
- (2) The angle between the front edge of the cutting bar and the top of the dredge frame must be less than or equal to 45 degrees.
- (3) All bale bars must be removed, except the outer bale (single or double) bars and the center support beam, leaving an otherwise unobstructed space between the cutting bar and forward bale wheels, if present. The center support beam must be less than 6 in (15.24 cm) wide. For the purpose of flaring and safe handling of the dredge, a minor appendage not to exceed 12 in (30.5 cm) in length may be attached to the outer bale bar.
 - (4) Struts must be spaced no more than 12 in (30.5 cm) apart from each other.
- (5) The TDD must include a straight extension ("bump out") connecting the outer bale bars to the dredge frame. This "bump out" must exceed 12 in (30.5 cm) in length.

Each element of this dredge is based on direct field research that has been conducted over several years. The combination of these modifications is designed to reduce the likelihood of a sea turtle passing under the dredge frame when the gear is on the seafloor, which could result in the sea turtle being crushed or injured. For example, the cutting bar in a standard dredge is behind and under the depressor plate, impeding a sea turtle from rising above the dredge. By moving the cutting bar in front of the dredge frame, the TDD would deflect sea turtles up and over the dredge. The angle of 45-degrees or less between the cutting bar and the top of the frame would provide a smoother transition for a sea turtle to move over the dredge, but would maintain the same overall height as a standard commercial scallop dredge. The requirement to remove the interior bale bars, with the exception of the center support bar, would create an unobstructed space for sea turtles to escape up and over the dredge, thus maximizing survival. The additional allowance for a flaring bar to be attached to the outer bale bar was identified during Council deeming of the proposed regulations at its November 2011 Council meeting. Gear operators use the flaring bar upon initial dredge deployment to position the gear correctly in the water before it descends to the sea floor. The flaring bar, a short stub (usually no longer than 12 inches (30.5) cm)) welded to the inside of the outer bale and close to the frame end, prevents the flaring line from sliding up the outer bale, assists in safely positioning the dredge once in the water. In a conventional New Bedford style dredge, operators usually run the flaring line through the space between the outer bale bar and the bale support bar closest to the outer bale bar on the side of the dredge that is closest to the vessel when the dredge is upright in the setting position. The bale support bars prevents the line from sliding up the outer bale to the gooseneck; the flaring line can only perform its function if it remains near the frame end. Because the TDD has no bale support

bars that can prevent the flaring line from moving up the bale, the Council voted to include the additional allowance of a flaring bar to the outer bale bar in the TDD regulations.

Tests show that sea turtles are less likely to enter the dredge with struts spaced less than 12 inches (30.5 cm) from each other than with wider spacing of struts. Lastly, the 12 inches (30.5 cm) or greater "bump out" addresses the potential for sea turtles to get caught in the narrow corners of the dredge frame by offering a greater area for escape. Tests show that these modifications cumulatively benefit sea turtle conservation, while not compromising the structural integrity of the dredge design and scallop yield. These TDD components could be modified by future actions, if additional modifications are developed to further minimize impacts on sea turtles or additions are identified that would improve the effectiveness of these measures.

This action proposes that all LA vessels, regardless of permit category or dredge width, and all LAGC IFQ vessels that fish with dredge gear greater than or equal to 10.5 feet (3.2 m) in width in the applicable area and season would be required to use a TDD. Because the bump out modification has not been fully tested on small dredges, Framework 23 proposes to exempt LA scallop vessels that use dredges with a width less than 10.5 ft (3.2 m) from that requirement of the TDD. Thus, LA vessels with smaller dredges would only have to use a TDD with the first four modifications listed above. If an LA vessel fishes with two dredges at a time, both of which are less than 10.5 ft (3.2 m) in width, neither dredge is required to have the bump out extension, even though the combined width of both dredges is greater than 10.5 ft (3.2 m). The bump out exemption does not apply to LAGC vessels that use dredges less than 10.5 ft (3.2 m) wide because such vessels would be exempted from the requirement to use a TDD entirely, due to concerns of the financial burden that building a new dredge would have on these small day boats,

which may have lower IFQ allocations. Based on the Framework 23 document, if an LAGC vessel fishes with two dredges, both of which are less than 10.5 ft (3.2 m) wide, neither dredge would be required to comply with the TDD requirements, even though the combined width of both dredges is greater than 10.5 ft (3.2 m). The Council's Framework 23 document estimated that out of the 179 active LAGC IFQ vessels, 85 vessels (47 percent) have a dredge width greater than or equal to 10.5 ft (3.2 m) and would be required to use the TDD. The remaining 94 LAGC IFQ vessels would be exempt from the TDD requirement entirely.

Due to the time it would take manufacturers to develop TDDs for the scallop fishery, this proposed measure would be effective 1 year after the effective date of Framework 23, if approved (e.g., if Framework 23 is effective on March 1, 2012, the TDD regulations would be effective March, 1, 2013, and TDDs would be required to be used starting May 1, 2013). This delay would also give vessel operators and crew time to fish with the new dredge design before the TDD season begins.

<HD2>Adjustments to the AMs Related to the Scallop Fishery's YTF sub-ACLs

<HD3>1. Revised AM Closure Schedules

The proposed action would revise the YTF seasonal closure AM schedules in both GB and SNE/MA such that the closures would be during months with the highest YTF catch rates, rather than being in place for consecutive months beginning at the start of the fishing year (FY). The proposed AM adjustments would still only apply to LA vessels. Table 1 compares the current SNE/MA AM schedule with that proposed under Framework 23. The major difference for SNE/MA is that the proposed closure schedule would occur in the early spring and winter first, rather than starting with the spring and summer, as under the current AM for that stock

area. AMs would occur in the same FY, with the winter closures occurring at the end of the FY. For example, if the scallop fishery exceeds its FY 2011 SNE/MA sub-ACL by 7 percent, the proposed AM closure for FY 2012 would occur in March, April, and May of 2012, and February 2013. The area would not close from June 2012 through January 2013.

Table 1. Comparison of current SNE/MA AM schedule and proposed schedule under Framework 23

CURRENT AM SCHEDULE		Pl	PROPOSED	
Percent Overage	LA Closure	Percent Overage	LA Closure	
1-2	March	2 or less	Mar-Apr	
3-5	Mar-Apr	2.1-3	Mar-Apr, and Feb	
6-8	Mar-May	3.1-7	Mar-May, and Feb	
9-12	Mar-June	7.1-9	Mar-May, and Jan, Feb	
13-14	Mar-July	9.1-12	Mar-May, and Dec-Feb	
15	Mar-Aug	12.1-15	Mar-June, and Dec-Feb	
			Mar-June, and Nov-	
16	Mar-Sept	15.1-16	Feb	
17	Mar-Oct	16.1-18	Mar-July, and Nov-Feb	
18	Mar-Nov	18.1-19	Mar-Aug, and Oct-Feb	
19	Mar-Jan	19.1 or more	Mar-Feb	
20 and higher	Mar-Feb			

Tables 2 and 3 compare the current GB AM schedules with those proposed under Framework 23. The GB AM schedule is still complex because the extent of the closure period depends on whether or not Closed Area II Scallop Access Area (CAII) is open in the FY following a GB sub-ACL overage. In general, the major difference is that the GB AM closures begin in the fall, when YT YTF catch rates are highest, followed by the winter months. The proposed GB schedule would begin the closures at a time of year when scallop meat weights are lowest, thus impacts on the scallop resource and fishery should be lower compared to closing the area beginning in March through the spring and summer when scallop meat weights are larger. Similar to the SNE/MA proposed schedule, all closures would occur in the same FY. For

example, if the FY 2013 sub-ACL was exceeded by 3.5 percent, the resulting FY 2014 AM (assuming CAII is closed that year) would occur in March, August, September, October, November, and December of 2014, and in January and February of 2015. The area would be open from April through July of 2014 in FY 2014. However, if CAII was open in FY 2014, the closure would extend from September through November in FY 2014.

Table 2. Comparison of current GB AM schedule and proposed schedule under Framework 23 for years when CAII is open

CURRENT AM SCHEDULE		PROPOSED	
Percent Overage	LA Closure	Percent Overage	LA Closure
1	Mar-May	3 or less	Oct-Nov
2-24	Mar-June	3.1-14	Sept-Nov
25-38	Mar-July	14.1-16	Sept-Jan
39-57	Mar-Aug	16.1-39	Aug-Jan
58-63	Mar-Sept	39.1-56	Jul-Jan
64-65	Mar-Oct	Greater than 56	Mar-Feb
66-68	Mar-Nov		
69	Mar-Dec		
70 and higher	Mar-Feb		

Table 3. Comparison of current GB AM schedule and proposed schedule under Framework 23 for years when CAII is closed.

CURRENT AM SCHEDULE		PROPOSED	
Overage	LA Closure	Overage	LA Closure
1	Mar-May	1.9 or less	Sept-Nov
2	Mar-June	2.0 -2.9	Aug-Jan
3	Mar-July	3.0 -3.9	Mar, and Aug-Feb
4-5	Mar-Aug	4.0 - 4.9	Mar, and Jul-Feb
6 and higher	Mar-Feb	5.0 -5.9	Mar-May, and Jul-Feb
		6.0 or greater	Mar-Feb

<HD3>2. Re-evaluating AM Determination Mid-Year

This action proposes a modification to the YTF AM regulations that would allow NMFS to re-examine the implementation of an AM once the FY has ended and all data are available.

After the end of a given FY, if available end-of-year data results in different projected YTF catch levels than those that determined the initial announcement of any AM triggering (e.g., the extent of the estimated overage was higher or lower than originally estimated, or that an AM should or should not have been triggered), the AM determination would be adjusted to reflect the best information available. Currently the only sub-ACLs allocated to the scallop fishery are for SNE/MA YTF and GB YTF, but the Council's intent is for this flexibility to apply to any species' sub-ACL, should they be implemented in the scallop fishery in the future.

On or before January 15 of each year, the Regional Administrator determines if the by catch sub-ACLs are projected to be exceeded for that FY. For example, based on the current process, the projection of 2012 YTF catch in the scallop fishery will be available by January 15, 2013, using all available data from that FY to date (i.e. March 1, 2012, through December 2012). Projections must be made for the remaining months of the FY using data from the previous year; for example, January and February values for 2013 must be projected using data from January and February 2012 in order to calculate a total estimate of YTF catch for FY2012. Several months after the FY is complete, a final estimate of YTF catch in the scallop fishery will be completed when all observer and scallop catch data are available. The timing of the final YTF year-end estimate is ultimately based on the availability of the observer data for the previous FY. For example, this year the January and February 2011 data were not available until September 2011, and the final estimate was provided shortly thereafter. Ideally, observer data in open areas will be available 90 days after the completion of an observed trip. As such, the earliest month that a full FY's observer data would be available would be June, roughly 3 months after the last observed trip during the previous FY. If the final estimate of YTF catch for Year 1, available

several months after the start of the FY in Year 2, differs from the original estimate provided in January of Year 1, this action would give the Regional Administrator the authority to revise the AM for the YTF sub-ACLs based on the final estimates.

Changing an AM mid-year would be complicated by the fact that some of the AM closure schedules begin during the first few months of the FY and may have passed before final estimates of YTF catch are available. For example, if the preliminary estimate of FY 2012 SNE/MA YTF catch in January 2013 is estimated to be 5 percent over the sub-ACL, AMs will trigger and the limited access fishery will be prohibited from fishing in specific areas in SNE/MA for March through May 2013, and February 2014, based on the proposed YTF closure schedule in this action. If, in June 2013, the final estimate of SNE/MA YTF catch concludes that the scallop fishery caught only 1.5 percent over the sub-ACL, the closure should have been a 2month closure in March and April 2013. Since the area was already closed through May, the solution would be to open the area for the last month of the AM closure (i.e., February 2013) because the final overage estimate was less than the original projection. If the final estimate is higher than the original projection, this action would also give the Regional Administrator the authority to close the area for longer than the original schedule. Due to the timing of the current AMs, there may not always be an opportunity to adjust AMs if the seasonal closure has already occurred during that FY, but the intent is to be more flexible to incorporate updated information when possible. This action does not give the Regional Administrator authority to impose AMs outside the scope of approved measures.

<HD2>Modifications to the NGOM Management Program

To address some concerns regarding the management of the NGOM, Framework 23 proposes to allow federally permitted NGOM vessels to declare a state waters-only trip within the NGOM and not have those landings applied to the Federal NGOM TAC. If the vessel decides to fish exclusively in state waters within the NGOM area (i.e., MA, NH, and ME state waters), on a trip-by-trip basis, the scallop catch from state water only trips would not be applied against the Federal NGOM TAC. On a trip-by-trip basis, each NGOM vessel can decide which area it is going to fish in (i.e., Federal or state NGOM trip). A NGOM vessel could still fish in both state and Federal waters on a single trip, but that vessel would need to declare a Federal trip before leaving, and the entire catch from that trip would be applied to the Federal TAC, even if some of it was harvested in state waters.

Currently, NGOM and IFQ vessels that declare NGOM trips must have all landings applied to the Federal TAC, regardless of whether or not they were fishing in state or Federal waters of the NGOM. Although this action would make adjustments for NGOM-permitted vessels, the Council did not include a similar provision for IFQ vessels that fish in the NGOM. As a result, if this measure is approved for NGOM-permitted vessels, IFQ vessels would continue to have all of their landings applied to the NGOM TAC, as well as their IFQ allocations, when fishing in Federal or state waters within the NGOM.

Once the Federal TAC is closed, all federally permitted scallop vessels (<u>i.e.</u>, LA, IFQ, and NGOM) are prohibited from fishing in any part of the NGOM until the next FY, unless they permanently relinquish their Federal NGOM permits and fish exclusively in state waters.

Framework 23 did not change this provision. NGOM vessels would no longer be able to declare state-only NGOM trips after the effective date of the Federal NGOM closure.

To date, the annual NGOM TAC of 70,000 lb (31.75 mt) has not been fully harvested in any FY, and most NGOM landings come from vessels fishing in state waters. Framework 23 does not change the NGOM hard TAC of 70,000 lb (31.75 mt). The Council will reevaluate the NGOM TAC in the next framework adjustment that would set the specifications for FYs 2013 and 2014.

Although this action would apply to all NGOM permitted vessels, the ability for such vessels to fish in state waters within the NGOM (i.e., ME, NH, MA state waters) depends on whether or not such vessels have the necessary state permits to do so. In addition, NGOM permit holders would still have to abide by the more restrictive possession limit of either their state or Federal NGOM scallop permit. This action does not exempt vessels from their Federal possession limit when fishing in state waters of the NGOM. To be exempt from Federal scallop possession limits, a state would have to apply for such exemption through the scallop state waters exemption program.

<HD2>Adjustments to VMS Trip Notifications for Scallop Vessels

This action proposes a measure that would change the current VMS trip declaration requirement for scallop vessels only, allowing them to declare a scallop trip anywhere shoreward of the VMS Demarcation Line, rather than from a designated port. Under current regulations, vessels that are involved in VMS fisheries (e.g., vessels with scallop, monkfish, multispecies, surfclam/quahog, and herring permits) must make their VMS trip declarations from inside a port. This proposed measure would allow scallop vessels the authority to declare their trips outside of a designated port, prior to crossing the VMS Demarcation Line and fishing, but would not change the trip declaration requirements for any other fishery. The Council's rationale behind

this alternative is to improve safety by eliminating the requirement that sometimes results in scallop vessels steaming into unfamiliar ports to declare their scallop trips before being able to fish. The Council may choose to address this issue in other VMS fisheries in future actions for those FMPs, and NMFS recommends that the Council discuss this further for other FMPs in order to be consistent, where possible, with addressing safety issues across all fisheries requiring VMS.

The Council has proposed this action for LA, LAGC IFQ, and LAGC NGOM vessels, although many of these scallop-permitted vessels would likely continue to declare from port, regardless of the option to do otherwise. The only vessels that would likely take advantage of this increased flexibility in trip notifications would be limited access vessels declaring scallop DAS trips for fishing grounds that are far from their home port. These trips are what most commonly require a vessel to go into an unfamiliar port to declare into the DAS program because DAS begin to accrue once a vessel crosses to the seaward side of the VMS Demarcation Line and it is not possible, safe, or practicable to remain inside the VMS Demarcation Line throughout the steam closer to the fishing grounds. Because the current estimate of landings-perunit-effort (LPUE) is currently calculated based on DAS charged, this action would not change how LPUE is estimated, and increased catch is not expected.

<HD2>Other Clarifications and Modifications

This proposed rule includes several revisions to the regulatory text to address text that is duplicative and unnecessary, outdated, unclear, or otherwise could be improved through revision. For example, there are terms and cross references in the current regulations that are now inaccurate due to the regulatory adjustments made through Amendment 15 rulemaking (i.e.,

references to "TAC" in some cases should now refer to "annual catch limits (ACLs)"). NMFS proposes to revise the regulations to clarify the terminology intended by Amendment 15 to the FMP (76 FR 43746, July 21, 2011) and to provide more ease in locating these regulations by updating cross references.

This action also proposes revisions that would clarify the intent of certain regulations. For example, the VMS regulations are clarified in § 648.10 to more clearly indicate the reporting requirements for various aspects of the scallop fishery (e.g., pre-landing notification requirements and state water exemption trip declaration requirements), to reflect the instructions currently available through on-board VMS units. Additionally, there are currently prohibitions in § 648.14 that imply that NGOM and incidental scallop vessels may have more than their allowable possession limit if they are assigned industry-funded observers during scallop trips. This text is unnecessary and confusing because NGOM and incidental scallop vessels are not part of the scallop industry-funded observer program and would not be assigned such observers to begin with. As such, NMFS proposes to remove these references from the regulations. NMFS also proposes to clarify how LAGC vessels are charged fees by observer providers in § 648.14, since such an explanation exists for LA vessels. A restriction on transferring IFQ in § 648.53(h)(5)(iii) would be clarified to allow vessels to complete multiple IFQ transfers during the course of a FY, as long as the transfers are for a portion of the IFQ and do not exceed the total yearly allocation. NMFS received some applications for permanent transfers of 100 percent of the vessel's IFQ in the same FY that IFQ was already leased from the same vessel. While this remains prohibited because transfers of allocation percentage is effectively a transfer of pounds, the restriction was not intended to prevent someone from completing multiple transfers of

portions of their IFQ. As a result, the regulations would be clarified to indicate that such multiple IFQ transfers are possible during a single FY.

NMFS also proposes to remove outdated text regarding LAGC quarterly TACs, which ceased to exist after the IFQ program was implemented in FY 2010, and references to the CAII rotational management schedule, which was intended to be removed in the rulemaking for Framework 22, along with the schedules for the other GB access areas. NMFS proposes these changes consistent with section 305(d) of the Magnuson-Stevens Act.

NMFS also proposes pursuant to its authority under section 305(d) of the Magnuson-Stevens Act, a change to the coordinates of the Closed Area I (CAI) access area and the CAI North and South essential fish habitat (EFH) areas. These coordinates were initially developed through Framework 16 to the FMP (69 FR 63460, November 2, 2004) and recently implemented through Amendment 15 for FY 2011. During the course of FY 2011, vessels fishing in the CAI access area discovered that the new coordinates for the access area created a western boundary that is \(\frac{1}{4} \) of a mile (0.4 km) to the east of the CAI western boundary, described in \(\frac{8}{648.81} \) (a)(1) as the line extending between the points CI1 (41°30' N lat.; 69°23' W long.) and CI2 (40°45' N lat.; 68°45' W long.). However, the access area was designed to cover the whole middle portion of CAI and extend out to the CAI western boundary. In reviewing the coordinates, NMFS found that the western coordinates for the CAI access area were established using imprecise matching of coordinates to the CAI western boundary line. NMFS proposes to update these coordinates in the regulations to extend the western boundary of CAI. To avoid any confusion on intent, in the case that various mapping software used by the industry or NOAA's Office of Law Enforcement provide slightly different results, NMFS also clarifies that the western boundary of the CAI

access area is the same as the western boundary of CAI that lies between the two western-most coordinates of the CAI access area. Since these two coordinates also are included in the coordinates of the CAI North and CAI South EFH closed areas, NMFS proposes the same changes to those EFH area coordinates as well.

Finally, although this does not affect the current regulations, NMFS wants to clarify an error in table 3 of the final rule to Framework 22 (76 FR 43774; July 21, 2011). The scallop sub-ACL values of YTF in GB and SNE/MA were mistakenly reversed in this table and should have stated that the FY 2011 sub-ACLs in GB and SNE/MA are 200.8 mt and 82 mt, respectively, and the FY 2012 sub-ACLs in GB and SNE/MA are 307.5 mt and 127 mt, respectively. The regulations already indicate the correct values for these FYs so this action proposes no changes. <hr/>

Pursuant to section 304(b)(1)(A) of the Magnuson-Stevens Act, the NMFS Assistant Administrator has determined that the proposed rule is consistent with the FMP, other provisions of the Magnuson-Stevens Act, and other applicable law, subject to further consideration after public comment.

This proposed rule has been determined to be not significant for purposes of Executive Order 12866. An IRFA has been prepared, as required by section 603 of the Regulatory Flexibility Act (RFA). The IRFA consists of Framework 23 analyses, its draft IRFA, and the preamble to this proposed rule. A summary of the analysis follows.

<HD2>Statement of Objective and Need

This action proposes four specific management measures applicable to the scallop fishery for FY 2012 and beyond. A description of the action, why it is being considered, and the legal

basis for this action are contained in Framework 23 and the preamble of this proposed rule and are not repeated here.

<HD2>Description and Estimate of Number of Small Entities to Which the Rule Would Apply

The RFA defines a small business entity in any fish-harvesting or hatchery business as a firm that is independently owned and operated and not dominant in its field of operation (including its affiliates), with receipts of up to \$4 million annually. All of the vessels in the Atlantic sea scallop fishery are considered small business entities because all of them grossed less than \$3 million according to the dealer's data for FYs 1994 to 2010. In FY 2010, total average revenue per full-time scallop vessel was just over \$1.2 million, and total average scallop revenue per LAGC vessel was just under \$120,000. The IRFA for this and prior Scallop FMP actions do not consider individual entity ownership of multiple vessels. More information about common ownership is being gathered, but the effects of common ownership relative to small versus large entities under the RFA is still unclear and will be addressed in future analyses.

The Office of Advocacy at the Small Business Association (SBA) suggests two criteria to consider in determining the significance of regulatory impacts; namely, disproportionality and profitability. The disproportionality criterion compares the effects of the regulatory action on small versus large entities (using the SBA-approved size definition of "small entity"), not the difference between segments of small entities. Because Framework 23 estimates that no individual vessel grosses more than \$3 million in any FY from 1994 through 2010, all permit holders in the sea scallop fishery were considered small business entities for the purpose of the IRFA analysis. Therefore, it is not necessary to perform the disproportionality assessment to compare the effects of the regulatory actions on small versus large entities. A summary of the

economic impacts relative to the profitability criterion is provided below under "Economic Impacts of Proposed Measures and Alternatives." The proposed regulations would affect vessels with LA and LAGC scallop permits. The Framework 23 document provides extensive information on the number and size of vessels and small businesses that would be affected by the proposed regulations, by port and state. There were 313 vessels that obtained full-time LA permits in 2010, including 250 dredge, 52 small-dredge, and 11 scallop trawl permits. In the same year, there were also 34 part-time (i.e., vessels that receive annual scallop allocations that are 40 percent of what is allocated to full-time vessels, based on the permit eligibility criteria established through Amendment 4 to the Scallop FMP) LA permits in the sea scallop fishery. No vessels were issued occasional scallop permits (<u>i.e.</u>, vessels that receive annual scallop allocations that are 8.33 percent of what is allocated to full-time vessels, based on the permit eligibility criteria established through Amendment 4 to the Scallop FMP). In FY 2010, the first year of the LAGC IFQ program, 333 active IFQ (including IFQ permits issued to vessels with a LA scallop permit), 122 NGOM, and 285 incidental catch permits were issued. Since all scallop permits are limited access, vessel owners would only cancel permits if they decide to stop fishing for scallops on the permitted vessel permanently. This is likely to be infrequent due to the value of retaining the permit. As such, the number of scallop permits could decline over time, but the decline would likely be less than 10 permits per year.

<HD2>Description of Projected Reporting, Recordkeeping, and other Compliance Requirements

This action contains no new collection-of-information, reporting, or recordkeeping requirements. It does not duplicate, overlap, or conflict with any other Federal law.

<HD1>Economic Impacts of Proposed Measures and Alternatives

<HD2>Summary of the Aggregate Economic Impacts

A detailed analysis of the economic impacts of the proposed actions can be found in Section 5.4 of the Framework 23 document. All economic values are presented in terms of 2010 dollars

In summary, in the short-term, the aggregate economic impacts of the proposed measures on small businesses could range from a low negative to low positive, depending on the extent that positive impacts of the measures outweigh the costs of TDD requirement. These measures are not expected to have significant impacts on the viability of the vessels, especially in a highly profitable industry like the scallop fishery. Over the long term, Framework 23 is expected to have positive economic impacts on the participants of the scallop fishery and related businesses. The proposed action is not expected to have a considerable adverse impact on the net revenues and profits of the majority of the scallop vessels in the short and the medium term.

<HD2>Economic Impacts of the Proposed Measures and Alternatives

<HD3>1. Requirement to use a TDD

The proposed action would require the use of a TDD on scallop vessels from May 1 through October 31 in waters west of 71° W long.. This requirement would be applicable to all LA vessels (regardless of permit category or dredge size) and to those LAGC vessels that fish with a dredge(s) that has a width of 10.5 ft (3.2 m) or greater. The Council estimates that the cost of a new dredge plus the cost of freight would be about \$5,000 for a standard dredge, and \$2,500 to \$3,000 for smaller dredges. The cost of buying a dredge and freight cost would be a very small proportion (1 to 2 percent) of the average scallop revenues per LA vessel, even when the maximum estimate of costs was used. For an average LAGC vessel that uses only one

Alternatively, for some vessels that use two dredges, the cost of buying and installing the dredges could be higher. Some of these vessels could choose to fish during times and areas for which a TDD is not required. The Council considered two other alternatives regarding which vessels would be required to use a TDD: One would have required the TDD for all LA vessels and no LAGC vessels, and thus would not have any adverse impacts on the LAGC IFQ vessels. The other non-selected alternative would have required the use of TDD for all vessels, including all LA and LAGC IFQ vessels, and would have had negative impacts on some LAGC IFQ vessels that use smaller dredges. There would be some short-term costs associated with buying and installing TDDs under all alternatives, but these costs are not large and are not expected to have adverse impacts on the financial viability of small business entities. Indirect positive economic benefits over the medium to long term are expected to outweigh these costs under the proposed alternative, particularly because the proposed alternative exempts LAGC vessels that use small dredges.

The option to have the TDD be required west of 71° W long. covers the majority of areas the scallop fishery and expected turtle interactions in the Mid-Atlantic overlap and excludes GB, where interactions with turtles are very rare. This proposed option would minimize the economic impacts for scallop vessels that fish solely in GB east of 71°W long. and those that fish in the Gulf of Maine. The proposed action would exempt LAGC vessels with dredges less than 10.5 ft (3.2 m) in width from TDD requirement, mitigating some of these negative impacts on the smaller boats fishing in those areas. The only other location option related to the TDD requirement was the area used to set effort limitations in Framework 22, which is the greatest

area of overlap in the distribution of scallop fishing gear and sea turtles, with the exception of waters due south of Rhode Island. Thus, the proposed location option would exclude those areas that LAGC vessels are active, and would minimize the negative economic impacts of TDD requirement on those vessels. Exemption of LAGC vessels that use a dredge less than 10.5 ft (3.2 m) wide would mitigate the impacts of the proposed boundary option and minimize the differences between the impacts of the two location options considered.

Based on research indicating that using a TDD is not expected to have negative impacts on scallop landings, the season for the TDD requirement would probably have marginal economic impacts on the fishery overall. LA vessels are unlikely to change dredges during the year, once they are required to operate with a TDD during a part of the year. Therefore, the relative difference between the proposed season (May 1 through October 31) and other options (i.e., May 1 through November 1, or June 1 through October 31) is likely to have only negligible impacts on these vessels. The difference between the season options could impact LAGC IFQ vessels relatively more than the LA vessels, but the exemption of LAGC IFQ vessels that use dredges less than 10.5 ft (3.2 m) wide would prevent the proposed measure from negatively affecting smaller vessels. The increase in costs could also be minimized to some degree by leasing of quota to LAGC IFQ vessels that fish in other areas. The shortest season considered by the Council (June through October) would have had the least impacts, and the longest considered season option (May through November) would have had the largest impact on vessels and would have impacted a larger proportion of landings. The proposed season option would maximize the benefits of reducing the impacts on turtles, while not impacting a large proportion of scallop landings.

The proposed implementation date of the TDD requirements, 1 year after Framework 23, if approved, is implemented (<u>i.e.</u>, May 1, 2013, if Framework 23 is implemented on March 1, 2012), would allow manufacturers enough time to build dredges and give vessels time to fish with the new dredge before the TDD requirement would begin. A shorter period for implementation, such as the options for 90 days after Framework 23's implementation, would not be feasible because so many dredges need to be built, and 180 days after implementation (<u>i.e.</u>, September 1, 2012, in this example) would not benefit sea turtles very much for that FY because TDDs would only be required for 2 months. Overall, there are no alternatives that would generate higher economic benefits for the participants of the scallop fishery.

<HD3>2. Adjustments to the AMs Related to the Scallop Fishery's YTF sub-ACLs

The proposed action would revise the YTF seasonal closure AM schedules in both GB and SNE/MA such that the closures would be during months with the highest YTF catch rates when an overage occurs, rather than beginning at the start of the FY and running for consecutive months under No Action. Overall, these modifications are not expected to have large impacts on scallop vessels given that only a small percentage of LA scallop landings took place in those areas. Because the revised closure schedules include the winter months, shifting effort to seasons when the meat weights are larger will benefit the scallop resource, increase landings and overall economic benefits for the scallop vessels in the medium to long term. There are no other alternatives that would generate higher economic benefits for the participants of the scallop fishery.

The action to re-evaluate the AM determination mid-year, thus allowing for more flexibility in determining the appropriate AM seasonal closure length, would be positive for LA

scallop vessels compared to No Action. Although adjusting the FY to which the AMs would apply could result in higher benefits to the scallop fishery by making this need for flexibility necessary (e.g., if YTF AMs were triggered the year after the overage occurred), these measures were not considered by the Council and can be re-examined in a future framework action. Thus, given the two alternatives considered by the Council, the proposed action would generate the higher economic benefits for the participants of the scallop fishery.

<HD3>3. Modifications to the NGOM Management Program

The proposed action would allow all vessels with a Federal NGOM permit to fish exclusively in state waters, on a trip-by-trip basis, without the scallop catch from exclusive state water trips counted against the Federal NGOM TAC. This change is not expected to have any significant impacts under the current resource conditions on landings and revenues from this area. However, if the scallop resource abundance and landings within the State of Maine's waters increase in the future, the proposed action would prevent a reduction in landings from federally permitted NGOM vessels fishing in the NGOM. This action could potentially have positive economic impacts on the vessels that fish both in the state and Federal waters. In addition, this action will keep the Federal NGOM hard-TAC at 70,000 lb (31.74 mt), which would have a positive economic impact on the participants of the NGOM scallop fishery. The only other TAC alternative would have lowered the Federal TAC to 31,000 lb (14.06 mt) to prevent excess fishing in the NGOM above potentially sustainable levels. Although the proposed TAC alternative, if continued over the long-term, could result in reduced landings and revenues for the NGOM fishery if effort in Federal waters increases substantially, given the present lack of effort in the Federal portion of the NGOM, it is unlikely that keeping the TAC at the proposed level would cause near-term problems. In addition, the Council will re-evaluate the NGOM TAC in the next framework adjustment that will set the specifications for FYs 2013 and 2014. Thus, there are no alternatives that would generate higher economic benefits for the participants of the scallop fishery.

<HD3>4. Change to When a Scallop Trip can be Declared through VMS

The proposed action would allow a vessel to declare into the scallop fishery west of the VMS Demarcation Line rather than from a designated port, enabling the vessel to reduce steaming time to scallop fishing grounds and decease its fuel and oil costs. Therefore, the proposed modification would have positive economic impacts on scallop vessels and small business entities. The only other alternative considered by the Council was No Action and, as such, there are no alternatives that would generate higher economic benefits for the participants of the scallop fishery.

<LSTSUB><HED>List of Subjects in 50 CFR Part 648

Fisheries, Fishing, Recordkeeping and reporting requirements.</LSTSUB></SIG><DATED>Dated: December 20, 2011.

<NAME>Samuel D. Rauch III,

<TITLE>Deputy Assistant Administrator

for Regulatory Programs,

National Marine Fisheries Service. </SIG>

For the reasons set out in the preamble, 50 CFR part 648 is proposed to be amended as follows:

<PART><HED>PART 648--FISHERIES OF THE NORTHEASTERN UNITED STATES

1. The authority citation for part 648 continues to read as follows:

<AUTH><HED>Authority:<P> 16 U.S.C. 1801 et seq.

2. In § 648.10, paragraphs (e)(5)(i), (e)(5)(ii), (f) introductory text, (f)(1), (f)(2), (f)(3), (f)(4)(ii), (f)(5)(i)(A), (g)(1), (h)(1) introductory text, and (h)(8) are revised, and (g)(3)(iii) is added to read as follows:

§ 648.10 VMS and DAS requirements for vessel owner/operators.

- (e) * * *
- (5) * * *
- (i) A vessel subject to the VMS requirements of § 648.9 and paragraphs (b) through (d) of this section that has crossed the VMS Demarcation Line under paragraph (a) of this section is deemed to be fishing under the DAS program, the Access Area Program, the LAGC IFQ or NGOM scallop fishery, or other fishery requiring the operation of VMS as applicable, unless prior to leaving port, the vessel's owner or authorized representative declares the vessel out of the scallop, NE multispecies, or monkfish fishery, as applicable, for a specific time period. NMFS must be notified by transmitting the appropriate VMS code through the VMS, or unless the vessel's owner or authorized representative declares the vessel will be fishing in the Eastern U.S./Canada Area, as described in § 648.85(a)(3)(ii), under the provisions of that program
- (ii) Notification that the vessel is not under the DAS program, the Access Area Program, the LAGC IFQ or NGOM scallop fishery, or any other fishery requiring the operation of VMS, must be received by NMFS prior to the vessel leaving port. A vessel may not change its status

after the vessel leaves port or before it returns to port on any fishing trip, unless the vessel is a scallop vessel and is exempted, as specified in paragraph (f) of this section.

- (f) Atlantic sea scallop vessel VMS notification requirements. Less than 1 hr prior to leaving port, the owner or authorized representative of a scallop vessel that is required to use VMS as specified in paragraph (b)(1) of this section must notify the Regional Administrator by transmitting the appropriate VMS code that the vessel will be participating in the scallop DAS program, Area Access Program, LAGC scallop fishery, or will be fishing outside of the scallop fishery under the requirements of its other Federal permits, or that the vessel will be steaming to another location prior to commencing its fishing trip by transmitting a "declared out of fishery" VMS code. If the owner or authorized representative of a scallop vessel declares out of the fishery for the steaming portion of the trip, the vessel cannot possess, retain, or land scallops, or fish for any other fish. Prior to commencing the fishing trip following a "declared out of fishery" trip, the owner or authorized representative must notify the Regional Administrator by transmitting the appropriate VMS code, before first crossing the VMS Demarcation Line, that the vessel will be participating in the scallop DAS program, Area Access Program, or LAGC scallop fishery. VMS codes and instructions are available from the Regional Administrator upon request.
- (1) <u>IFQ scallop vessels.</u> An IFQ scallop vessel that has crossed the VMS Demarcation Line specified under paragraph (a) of this section is deemed to be fishing under the IFQ program, unless prior to the vessel leaving port, the vessel's owner or authorized representative declares the vessel out of the scallop fishery (<u>i.e.</u>, agrees that the vessel will not possess, retain, or land

scallops while declared out of the fishery) by notifying the Regional Administrator through the VMS. If the vessel has not fished for any other fish (i.e., steaming only), after declaring out of the fishery, leaving port, and steaming to another location, the owner or authorized representative of an IFQ scallop vessel may declare into the IFQ fishery without entering another port by making a declaration before first crossing the VMS Demarcation Line. An IFQ scallop vessel that is fishing north of 42°20' N. lat. is deemed to be fishing under the NGOM scallop fishery unless prior to the vessel leaving port, the vessel's owner or authorized representative declares the vessel out of the scallop fishery, as specified in paragraphs (e)(5)(i) and (ii) of this section, and the vessel does not possess, retain, or land scallops while under such a declaration. After declaring out of the fishery, leaving port, and steaming to another location, if the IFQ scallop vessel has not fished for any other fish (i.e., steaming only), the vessel may declare into the NGOM fishery without entering another port by making a declaration before first crossing the VMS Demarcation Line.

- (2) NGOM scallop fishery. A NGOM scallop vessel is deemed to be fishing in Federal waters of the NGOM management area and will have its landings applied against the NGOM management area TAC, specified in § 648.62(b)(1), unless:
- (i) Prior to the vessel leaving port, the vessel's owner or authorized representative declares the vessel out of the scallop fishery, as specified in paragraphs (e)(5)(i) and (ii) of this section, and the vessel does not possess, retain, or land scallops while under such a declaration. After declaring out of the fishery, leaving port, and steaming to another location, if the NGOM scallop vessel has not fished for any other fish (i.e., steaming only), the vessel may declare into

the NGOM fishery without entering another port by making a declaration before first crossing the VMS Demarcation Line.

- (ii) The vessel has specifically declared into the state-only NGOM fishery, thus is fishing exclusively in the state waters portion of the NGOM management area.
- (3) <u>Incidental scallop fishery</u>. An Incidental scallop vessel that has crossed the VMS Demarcation Line on any declared fishing trip for any species is deemed to be fishing under the Incidental scallop fishery.

* * * * *

(4) * * *

(ii) Scallop Pre-Landing Notification Form for IFQ and NGOM vessels. Using the Scallop Pre-Landing Notification Form, a vessel issued an IFQ or NGOM scallop permit must report through VMS the amount of any scallops kept on each trip declared as a scallop trip, including declared scallop trips where no scallops were landed. In addition, vessels with an IFQ or NGOM permit must submit a Scallop Pre-Landing Notification Form on trips that are not declared as scallop trips, but on which scallops are kept incidentally. A limited access vessel that also holds an IFQ or NGOM permit must submit the Scallop Pre-Landing Notification Form only when fishing under the provisions of the vessel's IFQ or NGOM permit. VMS Scallop Pre-Landing Notification forms must be submitted no less than 6 hr prior to crossing the VMS Demarcation Line on the way back to port, and, if scallops will be landed, must include the vessel's captain/operator name, the amount of scallop meats and/or bushels to be landed, the estimated time of arrival in port, the port at which the scallops will be landed, the VTR serial number recorded from that trip's VTR, and whether any scallops were caught in the NGOM. If

the scallop harvest ends less than 6 hr prior to landing, then the Scallop Pre-Landing Notification form must be submitted immediately upon leaving the fishing grounds. If no scallops will be landed, the form only requires the vessel's captain/operator name, the VTR serial number recorded from that trip's VTR, and indication that no scallops will be landed. If the report is being submitted as a correction of a prior report, the information entered into the notification form will replace the data previously submitted in the prior report.

- (5) * * *
- (i) * * *
- (A) Notify the Regional Administrator, via their VMS, prior to each trip of the vessel under the state waters exemption program, that the vessel will be fishing exclusively in state waters; and

* * * * *

- (g) * * *
- (1) Unless otherwise specified in this part, or via letters sent to affected permit holders under paragraph (e)(1)(iv) of this section, the owner or authorized representative of a vessel that is required to use VMS, as specified in paragraph (b) of this section, unless exempted under paragraph (f) of this section, must notify the Regional Administrator of the vessel's intended fishing activity by entering the appropriate VMS code prior to leaving port at the start of each fishing trip.

* * * * *

(3) * * *

(iii) The vessel carries onboard a valid limited access or LAGC scallop permit, has declared out of the fishery in port, and is steaming to another location, pursuant to paragraph (f) of this section.

* * * * *

- (h) * * *
- (1) Less than 1 hr prior to leaving port, for vessels issued a limited access NE multispecies DAS permit or, for vessels issued a limited access NE multispecies DAS permit and a limited access monkfish permit (Category C, D, F, G, or H), unless otherwise specified in paragraph (h)of this section, or an occasional scallop permit as specified in this paragraph (h), and, prior to leaving port for vessels issued a limited access monkfish Category A or B permit, the vessel owner or authorized representative must notify the Regional Administrator that the vessel will be participating in the DAS program by calling the call-in system and providing the following information:

- (8) Regardless of whether a vessel's owner or authorized representative provides correct notification as required by paragraphs (e) through (h) of this section, a vessel meeting any of the following descriptions shall be deemed to be in its respective fishery's DAS or Scallop Access Area Program for purpose of counting DAS or scallop access area trips/pounds, and, shall be charged DAS from the time of sailing to landing:
- (i) Any vessel issued a limited access scallop permit and not issued an LAGC scallop permit that possesses or lands scallops;

- (ii) A vessel issued a limited access scallop and LAGC IFQ scallop permit that possesses or lands more than 600 lb (272.2 kg) of scallops, unless otherwise specified in § 648.60(d)(2);
- (iii) Any vessel issued a limited access scallop and LAGC NGOM scallop permit that possesses or lands more than 200 lb (90.7 kg) of scallops;
- (iv) Any vessel issued a limited access scallop and LAGC IC scallop permit that possesses or lands more than 40 lb (18.1 kg) of scallops;
- (v) Any vessel issued a limited access NE multispecies permit subject to the NE multispecies DAS program requirements that possesses or lands regulated NE multispecies, except as provided in §§ 648.10(h)(9)(ii), 648.17, and 648.89; and
- (vi) Any vessel issued a limited access monkfish permit subject to the monkfish DAS program and call-in requirement that possess or lands monkfish above the incidental catch trip limits specified in § 648.94(c).

* * * * *

3. In § 648.11, paragraphs (g)(1) and (g)(5)(i)(A) are revised to read as follows: § 648.11 At-sea sea sampler/observer coverage.

- (g) * * *
- (1) <u>General</u>. Unless otherwise specified, owners, operators, and/or managers of vessels issued a Federal scallop permit under § 648.4(a)(2), and specified in paragraph (a) of this section, must comply with this section and are jointly and severally responsible for their vessel's compliance with this section. To facilitate the deployment of at-sea observers, all sea scallop vessels issued limited access permits fishing in open areas or Sea Scallop Access Areas, and

LAGC IFQ vessels fishing under the Sea Scallop Access Area program specified in § 648.60, are required to comply with the additional notification requirements specified in paragraph (g)(2) of this section. When NMFS notifies the vessel owner, operator, and/or manager of any requirement to carry an observer on a specified trip in either an Access Area or Open Area as specified in paragraph (g)(3) of this section, the vessel may not fish for, take, retain, possess, or land any scallops without carrying an observer. Vessels may only embark on a scallop trip in open areas or Access Areas without an observer if the vessel owner, operator, and/or manager has been notified that the vessel has received a waiver of the observer requirement for that trip pursuant to paragraphs (g)(3) and (g)(4)(ii) of this section.

- (5) * * *
- (i) * * *
- (A) Access Area trips. -- (1) For purposes of determining the daily rate for an observed scallop trip on a limited access vessel in a Sea Scallop Access Area when that specific Access Area's observer set-aside specified in § 648.60(d)(1) has not been fully utilized, a service provider may charge a vessel owner for no more than the time an observer boards a vessel until the vessel disembarks (dock to dock), where "day" is defined as a 24-hr period, or any portion of a 24-hr period, regardless of the calendar day. For example, if a vessel with an observer departs on July 1 at 10 p.m. and lands on July 3 at 1 a.m., the time at sea equals 27 hr, which would equate to 2 full "days."
- (2) For purposes of determining the daily rate in a specific Sea Scallop Access Area for an observed scallop trip on a limited access vessel taken after NMFS has announced the

industry-funded observer set-aside in that specific Access Area has been fully utilized, a service provider may charge a vessel owner for no more than the time an observer boards a vessel until the vessel disembarks (dock to dock), where "day" is defined as a 24-hr period, and portions of the other days would be pro-rated at an hourly charge (taking the daily rate divided by 24). For example, if a vessel with an observer departs on July 1 at 10 p.m. and lands on July 3 at 1 a.m., the time spent at sea equals 27 hr, which would equate to 1 day and 3 hr.

(3) For purposes of determining the daily rate in a specific Sea Scallop Access Area for observed scallop trips on an LAGC vessel, regardless of the status of the industry-funded observer set-aside, a service provider may charge a vessel owner for no more than the time an observer boards a vessel until the vessel disembarks (dock to dock), where "day" is defined as a 24-hr period, and portions of the other days would be pro-rated at an hourly charge (taking the daily rate divided by 24). For example, if a vessel with an observer departs on July 1 at 10 p.m. and lands on July 3 at 1 a.m., the time spent at sea equals 27 hr, which would equate to 1 day and 3 hr.

- 4. In § 648.14,
- a. Paragraphs (i)(1)(iii)(A)(<u>1</u>)(<u>iv</u>), (i)(1)(iv)(C), (i)(2)(ii)(B)(<u>3</u>), (i)(2)(iv)(A), (i)(3)(iii)(C), (i)(3)(iv)(B), (i)(3)(v)(B), (i)(4)(i)(C), (i)(4)(i)(D), (i)(4)(i)(E), (i)(4)(ii)(A), (i)(4)(iii)(A), (i)(5)(i), and (i)(5)(iii) are revised;
- b. Paragraphs (i)(1)(iv)(E), (i)(2)(v)(C), (i)(2)(v)(D), (i)(3)(iv)(C), (i)(3)(iv)(D) and (i)(5)(iv) are added; and
 - c. Paragraphs (i)(1)(iii)(A)($\underline{1}$)(\underline{v}) and (i)(1)(iii)(A)($\underline{2}$)(\underline{v}) are removed and reserved.

The revisions and additions read as follows:

§ 648.14 Prohibitions.

* * * * *

- (i) * * *
- (1)***
- (iii) * * *
- (A) * * *
- <u>(1)</u> * * *
- (<u>iv</u>) The scallops were harvested by a vessel that has been issued and carries on board an NGOM or IFQ scallop permit, and is properly declared into the NGOM scallop management area, and the NGOM TAC specified in § 648.62 has been harvested.

* * * * *

- (iv) * * *
- (C) Purchase, possess, or receive for commercial purposes; or attempt to purchase or receive for commercial purposes; scallops from a vessel other than one issued a valid limited access or LAGC scallop permit, unless the scallops were harvested by a vessel that has not been issued a scallop permit and fishes for scallops exclusively in state waters.

* * * * *

(E) Fish for, possess, or retain scallops in Federal waters of the NGOM management area on a vessel that has been issued and carries on board a NGOM permit and has declared into the state waters fishery of the NGOM management area.

- (2) * * *
- (ii) * * *
- (B) * * *
- (3) Fail to comply with the turtle deflector dredge vessel gear restrictions specified in § 648.51(b)(5), and turtle dredge chain mat requirements in § 223.206(d)(11).

- (iv) * * *
- (A) Fish for, possess, or land scallops after using up the vessel's annual DAS allocation and Access Area trip allocations, or when not properly declared into the DAS or an Area Access program pursuant to § 648.10, unless the vessel has been issued an LAGC scallop permit pursuant to § 648.4(a)(2)(ii) and is lawfully fishing in a LAGC scallop fishery, unless exempted from DAS allocations as provided in state waters exemption, specified in § 648.54.

* * * * *

- (v) * * *
- (C) If a limited access scallop vessel declares a scallop trip before first crossing the VMS Demarcation Line, but not necessarily from port, in accordance with § 648.10(f), fail to declare out of the fishery in port and have fishing gear unavailable for immediate use as defined in § 648.23(b), until declared into the scallop fishery.
- (D) Once declared into the scallop fishery in accordance with § 648.10(f), change its VMS declaration until the trip has ended and scallop catch has been offloaded.

* * * * *

(3) * * *

(iii) * * *

(C) Declare into the NGOM scallop management area after the effective date of a notification published in the <u>Federal Register</u> stating that the NGOM scallop management area TAC has been harvested as specified in § 648.62.

* * * * *

(iv) * * *

- (B) Fail to comply with any requirement for declaring in or out of the LAGC scallop fishery or other notification requirements specified in § 648.10(b).
- (C) If an LAGC scallop vessel declares a scallop trip shoreward of the VMS Demarcation Line, but not necessarily from port, in accordance with § 648.10(f), fail to declare out of the fishery in port and have fishing gear unavailable for immediate use as defined in § 648.23(b), until declared into the scallop fishery.
- (D) Once declared into the scallop fishery in accordance with § 648.10(f), change its VMS declaration until the trip has ended and scallop catch has been offloaded.
 - (v) * * *
- (B) Declare into or leave port for an area specified in § 648.59(b) through (d) after the effective date of a notification published in the <u>Federal Register</u> stating that the number of LAGC trips have been taken, as specified in § 648.60.

- (4) * * *
- (i) * * *

- (C) Declare into the NGOM scallop management area after the effective date of a notification published in the <u>Federal Register</u> stating that the NGOM scallop management area TAC has been harvested as specified in § 648.62.
- (D) Possess more than 100 bu (35.2 hL) of in-shell scallops seaward of the VMS Demarcation Line and not participating in the Access Area Program, or possess or land per trip more than 50 bu (17.6 hL) of in-shell scallops shoreward of the VMS Demarcation Line, unless exempted from DAS allocations as provided in § 648.54.
- (E) Possess more than 50 bu (17.6 hL) of in-shell scallops, as specified in § 648.52(d), outside the boundaries of a Sea Scallop Access Area by a vessel that is declared into the Access Area Program as specified in § 648.60.

- (ii) * * *
- (A) Have an ownership interest in vessels that collectively are allocated more than 5 percent of the total IFQ scallop ACL as specified in § 648.53(a)(5)(ii) and (iii).

* * * * *

- (iii) * * *
- (A) Apply for an IFQ transfer that will result in the transferee having an aggregate ownership interest in more than 5 percent of the total IFQ scallop ACL.

- (5) * * *
- (i) Declare into, or fish for or possess scallops outside of the NGOM Scallop Management Area as defined in § 648.62.

- (iii) Fish for, possess, or land scallops in state or Federal waters of the NGOM management area after the effective date of notification in the <u>Federal Register</u> that the NGOM scallop management area TAC has been harvested as specified in § 648.62.
- (iv) Fish for, possess, or retain scallops in Federal waters of the NGOM after declaring a trip into NGOM state waters.

* * * * *

- 5. In § 648.51, paragraph (b)(1) is revised and paragraph (b)(5) is added to read as follows:
- § 648.51 Gear and crew restrictions.

* * * * *

- (b) * * *
- (1) <u>Maximum dredge width</u>. The combined dredge width in use by or in possession on board such vessels shall not exceed 31 ft (9.4 m) measured at the widest point in the bail of the dredge, except as provided under paragraph (e) of this section and in § 648.60(g)(2). However, component parts may be on board the vessel such that they do not conform with the definition of "dredge or dredge gear" in § 648.2, <u>i.e.</u>, the metal ring bag and the mouth frame, or bail, of the dredge are not attached, and such that no more than one complete spare dredge could be made from these component's parts.

* * * * *

(5) Restrictions applicable to sea scallop dredges in the mid-Atlantic--

- (i) <u>Requirement to use chain mats.</u> See § 223.206(d)(11) for chain mat requirements for scallop dredges.
- (ii) Requirement to use a turtle deflector dredge (TDD) frame (A) From May 1 through October 31, any limited access scallop vessel using a dredge, regardless of dredge size or vessel permit category, or any LAGC IFQ scallop vessel fishing with a dredge with a width of 10.5 ft (3.2 m) or greater, that is fishing for scallops in waters west of 71° W long., from the shoreline to the outer boundary of the Exclusive Economic Zone, must use a TDD. The TDD requires five modifications to the rigid dredge frame, as specified in paragraphs (b)(5)(ii)(A)(1) through (b)(5)(ii)(A)(5) of this section. See paragraph (b)(5)(ii)(E) of this section for more specific descriptions of the dredge elements mentioned below.
 - (1) The cutting bar must be located in front of the depressor plate.
- (2) The angle between the front edge of the cutting bar and the top of the dredge frame must be less than or equal to 45 degrees.
- (3) All bale bars must be removed, except the outer bale (single or double) bars and the center support beam, leaving an otherwise unobstructed space between the cutting bar and forward bale wheels, if present. The center support beam must be less than 6 in (15.24 cm) wide. For the purpose of flaring and safe handling of the dredge, a minor appendage not to exceed 12 in (30.5 cm) in length may be attached to the outer bale bar;
 - (4) Struts must be spaced 12 in (30.5 cm) apart or less from each other.
- (5) Unless exempted, as specified in paragraph (b)(5)(ii)(B) of this section, the TDD must include a straight extension ("bump out") connecting the outer bale bars to the dredge frame.

 This "bump out" must exceed 12 in (30.5 cm) in length.

- (B) A limited access scallop vessel that uses a dredge with a width less than 10.5 ft (3.2 m) is required to use a TDD except that such a vessel is exempt from the "bump out" requirement specified in paragraph (b)(5)(ii)(A)(5) of this section. This exemption does not apply to LAGC vessels that use dredges with a width of less than 10.5 ft (3.2 m) because such vessels are exempted from the requirement to use a TDD, as specified in paragraph (b)(5)(ii) of this section.
- (C) Vessels subject to the requirements in paragraph (b)(5)(ii) of this section transiting waters west of 71°W long., from the shoreline to the outer boundary of the Exclusive Economic Zone, are exempted from the requirement to only possess and use TDDs, provided the dredge gear is stowed in accordance with § 648.23(b) and not available for immediate use.
- (D) <u>TDD-related definitions. -- (1)</u> The cutting bar refers to the lowermost horizontal bar connecting the outer bails at the dredge frame.
- $(\underline{2})$ The depressor plate, also known as the pressure plate, is the angled piece of steel welded along the length of the top of the dredge frame.
 - (<u>3</u>) The top of the dredge frame refers to the posterior point of the depressor plate.
- (4) The struts are the metal bars connecting the cutting bar and the depressor plate.

 * * * * *
- 6. In § 648.53, paragraphs (b)(4)(vii), (h)(2) introductory text, (h)(2)(i), (h)(2)(ii)(C), (h)(2)(iv), (h)(3)(i)(A), and (h)(5)(iii) are revised to read as follows:
- § 648.53 Acceptable biological catch (ABC), annual catch limits (ACL), annual catch targets (ACT), DAS allocations, and individual fishing quotas (IFQ).

- (b) * * *
- (4) * * *
- (vii) If, prior to the implementation of Framework 22, a vessel owner exchanges an Elephant Trunk Access Area trip for another access area trip as specified in § 648.60(a)(3)(ii) in fishing year 2011, the vessel that receives an additional Elephant Trunk Access Area trip would receive a DAS credit of 7.4 DAS in FY 2011, resulting in a total fishing year 2011 DAS allocation of 39.4 DAS (32 DAS plus 7.4 DAS). This DAS credit from unused Elephant Trunk Access Area trip gained through a trip exchange is based on a full-time vessel's 18,000-lb (8,165kg) possession limit and is calculated by using the formula specified in paragraph (b)(4)(vi) of this section, but the DAS conversion is applied as a DAS credit in the 2011 fishing year, rather than as a DAS deduction in fishing year 2012. Similarly, using the same calculation with a 14,400-lb (6,532-kg) possession limit, part-time vessels would receive a credit of 5.9 DAS if the vessel owner received an additional Elephant Trunk Access Area trip through a trip exchange in the interim between the start of the 2011 fishing year and the implementation of Framework 22 and did not use it. If a vessel fishes any part of an Elephant Trunk Access Area trip gained through a trip exchange, those landings would be deducted from any DAS credit applied to the 2011 fishing year. For example, if a full-time vessel lands 10,000 lb (4,536 kg) from an Elephant Trunk Access Area trip gained through a trip exchange, the pounds landed would be converted to DAS and deducted from the trip-exchange credit as follows: The 10,000 lb (4,536 kg) would first be multiplied by the estimated average meat count in the Elephant Trunk Access Area (18.4) meats/lb) and then divided by the estimated open area average meat count (also 18.4 meats/lb) and by the estimate open area LPUE for fishing year 2011 (2,441 lb/DAS), resulting in a DAS

deduction of 4.1 DAS ((10,000 lb \times 18.4 meats/lb)/(18.4 meats/lb \times 2,441 lb/DAS) = 4.1 DAS). Thus, this vessel would receive a reduced DAS credit in FY 2011 to account for the Elephant Trunk Access Area trip exchange of 3.3 DAS (7.4 DAS – 4.1 DAS = 3.7 DAS).

- (h) * * *
- (2) <u>Calculation of IFQ</u>. The ACL allocated to IFQ scallop vessels, and the ACL allocated to limited access scallop vessels issued IFQ scallop permits, as specified in paragraphs (a)(4)(i) and (ii) of this section, shall be used to determine the IFQ of each vessel issued an IFQ scallop permit. Each fishing year, the Regional Administrator shall provide the owner of a vessel issued an IFQ scallop permit issued pursuant to § 648.4(a)(2)(ii) with the scallop IFQ for the vessel for the upcoming fishing year.
- (i) <u>Individual fishing quota.</u> The IFQ for an IFQ scallop vessel shall be the vessel's contribution percentage as specified in paragraph (h)(2)(iii) of this section and determined using the steps specified in paragraphs (h)(2)(ii) of this section, multiplied by the ACL allocated to the IFQ scallop fishery, or limited access vessels issued an IFQ scallop permit, as specified in paragraphs (a)(4)(i) and (ii) of this section.
 - (ii) * * *
- (C) Index to determine contribution factor. For each eligible IFQ scallop vessel, the best year as determined pursuant to paragraph (a)(2)(ii)(E)($\underline{1}$) of this section shall be multiplied by the appropriate index factor specified in the following table, based on years active as specified in paragraph (a)(2)(ii)(E)($\underline{2}$) of this section. The resulting contribution factor shall determine its IFQ for each fishing year based on the allocation to general category scallop vessels as specified

in paragraph (a)(4) of this section and the method of calculating the IFQ provided in paragraph (h) of this section.

Years active	Index factor
1	0.75
2	0.875
3	1.0
4	1.125
5	1.25

* * * * *

(iv) <u>Vessel IFQ Example.</u> Continuing the example in paragraphs (h)(1)(ii)(D) and (h)(1)(iii) of this section, with an ACL allocated to IFQ scallop vessels estimated for this example to be equal to 2.5 million lb (1,134 mt), the vessel's IFQ would be 36,250 lb (16,443 kg) (1.45 percent * 2.5 million lb (1,134 mt)).

* * * * *

- (3) * * *
- (i) * * *
- (A) Unless otherwise specified in paragraphs (h)(3)(i)(B) and (C) of this section, a vessel issued an IFQ scallop permit or confirmation of permit history shall not be issued more than 2.5 percent of the ACL allocated to the IFQ scallop vessels as described in paragraph (a)(4)(ii) of this section.

* * * * *

(5) * * *

- (iii) <u>IFQ transfer restrictions</u>. The owner of an IFQ scallop vessel not issued a limited access scallop permit that has fished under its IFQ in a fishing year may not transfer that vessel's IFQ to another IFQ scallop vessel in the same fishing year. Requests for IFQ transfers cannot be less than 100 lb (46.4 kg), unless that value reflects the total IFQ amount remaining on the transferor's vessel, or the entire IFQ allocation. A vessel's total IFQ allocation can be transferred only once during a given fishing year. For example, a vessel owner can complete several transfers of portions of his/her vessel's IFQ during the fishing year, but cannot complete a temporary transfer of a portion of its IFQ then request to either temporarily or permanently transfer the entire IFQ in the same fishing year. A transfer of an IFQ may not result in the sum of the IFQs on the receiving vessel exceeding 2.5 percent of the ACL allocated to IFQ scallop vessels. A transfer of an IFQ, whether temporary or permanent, may not result in the transferee having a total ownership of, or interest in, general category scallop allocation that exceeds 5 percent of the ACL allocated to IFQ scallop vessels. Limited access scallop vessels that are also issued an IFQ scallop permit may not transfer to or receive IFQ from another IFQ scallop vessel.
 - 7. In § 648.55, paragraphs (c)(1) and (c)(5) are revised to read as follows:
- § 648.55 Framework adjustments to management measures.

- (c) * * *
- (1) OFL. OFL shall be based on an updated scallop resource and fishery assessment provided by either the Scallop PDT or a formal stock assessment. OFL shall include all sources of scallop mortality and shall include an upward adjustment to account for catch of scallops in

state waters by vessels not issued Federal scallop permits. The fishing mortality rate (F) associated with OFL shall be the threshold F, above which overfishing is occurring in the scallop fishery. The F associated with OFL shall be used to derive specifications for ABC, ACL, and ACT, as specified in paragraphs (c)(2) through (5) of this section.

* * * * *

(5) <u>Sub-ACLs</u> for the limited access and LAGC fleets. The Council shall specify sub-ACLs for the limited access and LAGC fleets for each year covered under the biennial or other framework adjustment. After applying the deductions as specified in paragraph (a)(4) of this section, a sub-ACL equal to 94.5 percent of the ABC/ACL shall be allocated to the limited access fleet. After applying the deductions as specified in paragraph (a)(4) of this section, a sub-ACL of 5.5 percent of ABC/ACL shall be allocated to the LAGC fleet, so that 5 percent of ABC/ACL is allocated to the LAGC fleet of vessels that do not also have a limited access scallop permit, and 0.5 percent of the ABC/ACL is allocated to the LAGC fleet of vessels that have limited access scallop permits. This specification of sub-ACLs shall not account for catch reductions associated with the application of AMs or adjustment of the sub-ACL as a result of the limited access AM exception as specified in § 648.53(b)(4)(iii).

* * * * *

8. In § 648.56, paragraph (d) is revised to read as follows: § 648.56 Scallop research.

* * * * *

(d) Available RSA allocation shall be 1.25 million lb (567 mt) annually, which shall be deducted from the ABC/ACL specified in §648.53(a) prior to setting ACLs for the limited access

and LAGC fleets, as specified in § 648.53(a)(3) and (a)(4), respectively. Approved RSA projects shall be allocated an amount of scallop pounds that can be harvested in open areas and available access areas. The specific access areas that are open to RSA harvest shall be specified through the framework process as identified in § 648.60(e)(1). In a year in which a framework adjustment is under review by the Council and/or NMFS, NMFS shall make RSA awards prior to approval of the framework, if practicable, based on total scallop pounds needed to fund each research project. Recipients may begin compensation fishing in open areas prior to approval of the framework, or wait until NMFS approval of the framework to begin compensation fishing within approved access areas.

* * * * *

9. In § 648.59, paragraph (b)(3) and the heading to paragraph (c) are revised, to read as follows:

§ 648.59 Sea Scallop Access Areas.

- (b) * * *
- (3) The Closed Area I Access Area is defined by straight lines connecting the following points in the order stated (copies of a chart depicting this area are available from the Regional Administrator upon request), and so that the line connecting points CAIA3 and CAIA4 is the same as the portion of the western boundary line of Closed Area I, defined in § 648.81(a)(1), that lies between points CAIA3 and CAIA4:

Point	Latitude	Longitude
CAIA1	41°26' N	68°30' W
CAIA2	40°58' N	68°30' W

CAIA3	40°54.95' N	68°53.40' W
CAIA4	41°04.30'N	69°01.29'W
CAIA1	41°26' N	68°30' W

(c) Closed Area II Access Area. ***

* * * * *

- 10. In § 648.60, the section heading is revised and paragraph (g)(2) is revised to read as follows:
- § 648.60 Sea scallop access area program requirements.

* * * * *

(g) * * *

- (2) <u>Limited Access General Category Gear restrictions</u>. An LAGC IFQ scallop vessel authorized to fish in the Access Areas specified in § 648.59(a) through (e) must fish with dredge gear only. The combined dredge width in use by, or in possession on board of, an LAGC scallop vessel fishing in Closed Area I, Closed Area II, and Nantucket Lightship Access Areas may not exceed 10.5 ft (3.2 m). The combined dredge width in use by, or in possession on board of, an LAGC scallop vessel fishing in the remaining Access Areas described in § 648.59 may not exceed 31 ft (9.4 m). Dredge width is measured at the widest point in the bail of the dredge.

- 11. In § 648.61, paragraph (a)(4) is revised to read as follows: § 648.61 EFH Closed Areas.

(a) * * *

(4) <u>Closed Area I Habitat Closure Areas</u>. The restrictions specified in paragraph (a) of this section apply to the Closed Area I Habitat Closure Areas, Closed Area I–North and Closed Area I–South, which are the areas bounded by straight lines connecting the following points in the order stated, and so that the line connecting points CI1 and CIH1, and CI2 and CIH3 is the same as the portion of the western boundary line of Closed Area I, defined in § 648.81(a)(1), that lies between those points:

Closed Area I—North Habitat Closure Area

Point	N. lat.	W. long.
CI1	41°30'	69°23'
CI4	41°30'	68°30'
CIH1	41°26'	68°30'
CIH2	41°04.30'N	69°01.29'W
CI1	41°30'	69°23'

Closed Area I—South Habitat Closure Area

Point	N. lat.	W. long.
CIH3	40°54.95' N	68°53.40' W
CIH4	40°58'	68°30'
CI3	40°45'	68°30'
CI2	40°45'	68°45'
CIH3	40°54.95' N	68°53.40' W

* * * * *

12. In § 648.62, paragraphs (a), (b) introductory text, (b)(2), and (c) are revised to read as follows:

§ 648.62 Northern Gulf of Maine (NGOM) Management Program.

- (a) The NGOM scallop management area is the area north of 42°20′ N. lat. and within the boundaries of the Gulf of Maine Scallop Dredge Exemption Area as specified in § 648.80(a)(11). To fish for or possess scallops in the NGOM scallop management area, a vessel must have been issued a scallop permit as specified in § 648.4(a)(2).
- (1) If a vessel has been issued a NGOM scallop permit, the vessel is restricted to fishing for or possessing scallops only in the NGOM scallop management area.
- (2) Scallop landings by vessels issued NGOM permits shall be deducted from the NGOM scallop total allowable catch when vessels fished all or part of a trip in the Federal waters portion of the NGOM. If a vessel with a NGOM scallop permit fishes exclusively in state waters within the NGOM, scallop landings from those trips would not be deducted from the Federal NGOM quota.
- (3) Scallop landings by all vessels issued LAGC IFQ scallop permits and fishing in the NGOM scallop management area shall be deducted from the NGOM scallop total allowable catch specified in paragraph (b) of this section. Scallop landings by IFQ scallop vessels fishing in the NGOM scallop management area shall be deducted from their respective scallop IFQs. Landings by incidental catch scallop vessels and limited access scallop vessels fishing under the scallop DAS program shall not be deducted from the NGOM total allowable catch specified in paragraph (b) of this section.
- (4) A vessel issued a NGOM or IFQ scallop permit that fishes in the NGOM may fish for, possess, or retain up to 200 lb (90.7 kg) of shucked or 25 bu (8.81 hL) of in-shell scallops, and may possess up to 50 bu (17.6 hL) of in-shell scallops seaward of the VMS Demarcation Line. A vessel issued an incidental catch general category scallop permit that fishes in the NGOM may

fish for, possess, or retain only up to 40 lb of shucked or 5 U.S. bu (1.76 hL) of in-shell scallops, and may possess up to 10 bu (3.52 hL) of in-shell scallops seaward of the VMS Demarcation Line.

(b) Total allowable catch. The total allowable catch for the NGOM scallop management area shall be specified through the framework adjustment process. The total allowable catch for the NGOM scallop management area shall be based on the Federal portion of the scallop resource in the NGOM. The total allowable catch shall be determined by historical landings until additional information on the NGOM scallop resource is available, for example through an NGOM resource survey and assessment. The ABC/ACL as specified in § 648.53(a) shall not include the total allowable catch for the NGOM scallop management area, and landings from the NGOM scallop management area shall not be counted against the ABC/ACL specified in § 648.53(a).

* * * * *

(2) Unless a vessel has fished for scallops outside of the NGOM scallop management area and is transiting NGOM scallop management area with all fishing gear stowed in accordance with § 648.23(b), no vessel issued a scallop permit pursuant to § 648.4(a)(2) may possess, retain, or land scallops in the NGOM scallop management area once the Regional Administrator has provided notification in the Federal Register that the NGOM scallop total allowable catch in accordance with this paragraph (b) has been reached. Once the NGOM hard TAC is reached, a vessel issued a NGOM permit may no longer declare a state-only NGOM scallop trip and fish for scallops exclusively in state waters within the NGOM. A vessel that has

not been issued a Federal scallop permit that fishes exclusively in state waters is not subject to the closure of the NGOM scallop management area.

* * * * *

(c) <u>VMS requirements</u>. Except scallop vessels issued a limited access scallop permit pursuant to § 648.4(a)(2)(i) that have declared a trip under the scallop DAS program, a vessel issued a scallop permit pursuant to § 648.4(a)(2) that intends to fish for scallops in the NGOM scallop management area or fishes for, possesses, or lands scallops in or from the NGOM scallop management area, must declare a NGOM scallop management area trip and report scallop catch through the vessel's VMS unit, as required in § 648.10. If the vessel has a NGOM permit, the vessel can declare either a Federal NGOM trip or a state-waters NGOM trip. If a vessel intends to fish any part of a NGOM trip in Federal NGOM waters, it may not declare into the state water NGOM fishery.

* * * * *

13. In § 648.63, paragraphs (b)(2)(i) and (b)(2)(iii) are revised to read as follows: § 648.63 General category sectors and harvest cooperatives.

- (b) * * *
- (2) ****
- (i) The sector allocation shall be equal to a percentage share of the ACL allocation for IFQ scallop vessels specified in § 648.53(a), similar to a IFQ scallop vessel's IFQ as specified in § 648.53(h). The sector's percentage share of the IFQ scallop fishery ACL catch shall not

change, but the amount of allocation based on the percentage share will change based on the ACL specified in § 648.53(a).

* * * * *

(iii) A sector shall not be allocated more than 20 percent of the ACL for IFQ vessels specified in § 648.53(a)(4)(i) or (ii).

* * * * *

14. In § 648.64, paragraphs (b)(2)(i), (b)(2)(ii), (c)(2), and (e) are revised, and paragraph (f) is removed and reserved to read as follows:

§ 648.64 Yellowtail flounder sub-ACLs and AMs for the scallop fishery.

- (b) * * *
- (2) * * *
- (i) For years when the Closed Area II Sea Scallop Access Area is open, the closure duration shall be:

Percent overage of YTF sub-ACL	Length of closure
3 or less	October through November
3.1-14	September through November
14.1-16	September through January
16.1-39	August through January
39.1-56	July through January
Greater than 56	March through February

(ii) For fishing years when the Closed Area II Sea Scallop Access Area is closed to scallop fishing, the closure duration shall be:

Percent overage of YTF sub-ACL	Length of closure
1.9 or less	September through November
2.0 -2.9	August through January
3.0 -3.9	March and August through February
4.0 - 4.9	March and July through February
5.0 -5.9	March through May and July through February
6.0 or greater	March through February

* * * * *

(c) * * *

(2) <u>Duration of closure</u>. The Southern New England/Mid-Atlantic yellowtail flounder accountability measure closed area shall remain closed for the period of time, not to exceed 1 fishing year, as specified for the corresponding percent overage of the Southern New England/Mid-Atlantic yellowtail flounder sub-ACL, as follows:

Percent overage of YTF	
sub-ACL	Length of closure
2 or less	March through April

2.1-3	March through April, and February
3.1-7	March through May, and February
7.1-9	March through May and January through February
9.1-12	March through May and December through February
12.1-15	March through June and December through February
15.1-16	March through June and November through February
16.1-18	March through July and November through February
18.1-19	March through August and October through February
19.1 or more	March through February

(e) <u>Process for implementing the AM</u>. On or about January 15 of each year, based upon catch and other information available to NMFS, the Regional Administrator shall determine whether a yellowtail flounder sub-ACL was exceeded, or is projected to be exceeded, by scallop vessels prior to the end of the scallop fishing year ending on February 28/29. The determination shall include the amount of the overage or projected amount of the overage, specified as a

percentage of the overall sub-ACL for the applicable yellowtail flounder stock, in accordance with the values specified in paragraph (a) of this section. Based on this initial projection in mid-January, the Regional Administrator shall implement the AM in accordance with the APA and notify owners of limited access scallop vessels by letter identifying the length of the closure and a summary of the yellowtail flounder catch, overage, and projection that resulted in the closure. The initial projected estimate shall be updated after the end of each scallop fishing year once complete fishing year information becomes available. An AM implemented at the start of the fishing year will be reevaluated and adjusted proportionately, if necessary, once updated information is obtained. For example, if in January 2013, the preliminary estimate of 2012 Southern New England/Mid-Atlantic yellowtail flounder catch is estimated to be 5 percent over the 2012 sub-ACL, the Regional Administrator shall implement AMs for the 2013 scallop fishing year in that stock area. Based on the schedule in paragraph (c)(2) of this section, limited access vessels would be prohibited from fishing in the area specified in paragraph (c)(1) of this section for 4 months (i.e., March through May 2013, and February 2014). After the 2012 fishing year is completed, if the final estimate of Southern New England/Mid-Atlantic yellowtail flounder catch indicates the scallop fishery caught 1.5 percent of the sub-ACL, rather than 5 percent, the Regional Administrator, in accordance with the APA, would adjust the AM for the 2014 fishing year based on the overage schedule in paragraph (c)(2) of this section. As a result, limited access vessels would be subject to a 2-month seasonal closure in March and April 2013. In this example, due to the availability of final fishing year data, it is possible that the original AM closure was already in effect during the month of May. However, the unnecessary AM closure in February 2014 would be avoided. If the Regional Administrator determines that a

final estimate is higher than the original projection, the Regional Administrator, if necessary, shall make adjustments to the current fishing year's respective AM closure schedules in accordance with the overage schedule in paragraphs (b)(2)(i), (b)(2)(ii), and (c)(2) of this section.

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